

S/N 09/995,407

#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark P. Bendett

Examiner: Unknown

Serial No.: 09/995,407

Group Art Unit: Unknown

Filed: November 27, 2001

Docket: 1014.010US1

Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES
HAVING HIGH-PERFORMANCE WAVEGUIDES AND
MULTICOMPOSITIONAL SUBSTRATES



COMMUNICATION RE: MISSING PARTS

COPY OF PAPERS
ORIGINALLY FILED

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$502.00 to cover the small entity basic filing fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6949.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

MARK P. BENDETT

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6949

Date

19 February 2002

By

Charles A. Lemaire

Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 19th day of February, 2002.

Seatamattie Creg
Name

Signature

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Applicant: Mark P. Bendett

Examiner: Unknown

Serial No.: 09/995,407

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Docket: 1014.010US1

Title:

APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING
HIGH-PERFORMANCE WAVEGUIDES AND MULTICOMPOSITIONAL
SUBSTRATESCOMMUNICATION RE: CORRECTED APPLICATION PAPERSBox Missing Parts
Commissioner for Patents
Washington, D.C. 20231COPY OF PAPERS
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In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are 25 sheets of substitute drawings.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6949.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

MARK P. BENDETT

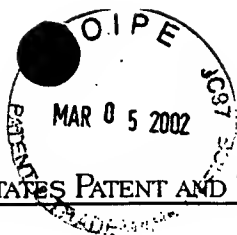
By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6949

Date 19th February 2002 By Charles A. Lemaire
Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:sc

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Seatamattie Creg
NameSeatamattie Creg
Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,407	11/27/2001	Mark P. Bendett	1014.010US1

CONFIRMATION NO. 1933

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. BOX 2938

MINNEAPOLIS, MN 55402

FORMALITIES LETTER



OC00000007263763

✓
Msg Pts Due 3-3-02
Stat 8-3-02

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/08/2002 BNGUYEN1 00000093 09995407

FILED UNDER 37 CFR 1.53(b)

01 FC-201	370.00 OP
02 FC-203	90.00 OP
03 FC-202	42.00 OP
04 FC-205	65.00 OP

Filing Date Granted

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$132.
 - \$90 for 10 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 567.

CMG RECEIVED

JAN 09 2001

Schwegman, Lundberg
Woessner & Kluth, P.A.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

✓
Corrected Papers
Due 3-3-02
Stat 8-3-02

A copy of this notice MUST be returned with the reply.

D.W.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Seeger \$/

Applicant: Mark P. Bendett

Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING
HIGH-PERFORMANCE WAVEGUIDES AND MULTICOMPOSITIONAL SUBSTRATES

Docket No.: 1014.010US1
Filed: November 27, 2001
Examiner: Unknown

Serial No.: 09/995,407
Due Date: March 3, 2002
Group Art Unit: Unknown

COPY OF PAPERS
ORIGINALLY FILED

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- X A return postcard.
- X A check in the amount of \$65.00 to cover the Missing Parts Fee.
- X A check in the amount of \$502.00 to cover the Basic Filing Fee and Additional Claims Fee.
- X Communication Re: Missing Parts (1 pg.)
- X A signed Combined Declaration and Power of Attorney (3 pgs.).
- X Notice to File Missing Parts (2pg.)
- X Communication Re: Corrected Application Papers (1 pg.)
- X Formal Drawings (25 pgs.).

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire
Name: Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:sc

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Seatomattie Creg
Name

Seatomattie Creg
Signature